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17681 U.S. PTO

PTO/SB/05 (08-03)

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U.S. Patent and Trademark Office. U.S. DEPARTMENT OF COMMERCE

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UTILITY
PATENT APPLICATION
TRANSMITTAL

(Only for new nonprovisional applications under 37 C.F.R. 1.53(b))

Attorney Docket No.

IMM054B

First Inventor

Michael D. LEVIN

Title

Control Knob With Multiple Degrees Of Freedom

Express Mail Label No.

EV 315 184 635 US

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

ADDRESS TO:

Mail Stop Patent Application
Commissioner for Patents
P.O. Box 1450
Alexandria VA 22313-1450

1. ☒ Fee Transmittal Form (e.g., PTO/SB/17)
(Submit an original and a duplicate for fee processing)
2. ☐ Applicant claims small entity status.
See 37 CFR 1.27.
3. ☒ Specification [Total Pages 49]
(preferred arrangement set forth below)
- Descriptive title of the Invention
- Cross Reference to Related Applications
- Statement Regarding Fed sponsored R & D
- Reference to sequence listing, a table,
or a computer program listing appendix
- Background of the Invention
- Brief Summary of the Invention
- Brief Description of the Drawings (if filed)
- Detailed Description
- Claim(s)
- Abstract of the Disclosure
4. ☒ Drawing(s) (35 U.S.C. 113) [Total Sheets 11]
5. Oath or Declaration [Total Sheets]
a. ☐ Newly executed (original or copy)
b. ☒ Copy from a prior application (37 CFR 1.63 (d))
(for a continuation/divisional with Box 18 completed)
i. ☐ DELETION OF INVENTOR(S)
Signed statement attached deleting inventor(s)
named in the prior application, see 37 CFR
1.63(d)(2) and 1.33(b).
6. ☐ Application Data Sheet. See 37 CFR 1.76

7. ☐ CD-ROM or CD-R in duplicate, large table or
Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission
(if applicable, all necessary)
a. ☐ Computer Readable Form (CRF)
b. Specification Sequence Listing on:
i. ☐ CD-ROM or CD-R (2 copies); or
ii. ☐ Paper
c. ☐ Statements verifying identity of above copies

ACCOMPANYING APPLICATIONS PARTS

9. ☐ Assignment Papers (cover sheet & document(s))
10. ☐ 37 C.F.R. 3.73(b) Statement ☐ Power of
(when there is an assignee) Attorney
11. ☐ English Translation Document (if applicable)
12. ☐ Information Disclosure ☐ Copies of IDS
Statement (IDS)/PTO-1449 Citations
13. ☒ Preliminary Amendment
14. ☐ Return Receipt Postcard (MPEP 503)
(Should be specifically itemized)
15. ☐ Certified Copy of Priority Document(s)
(if foreign priority is claimed)
16. ☐ Nonpublication Request under 35 U.S.C. 122
(b)(2)(B)(i). Applicant must attach form PTO/SB/35
or its equivalent.
17. ☐ Other: _____

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment,
or in an Application Data Sheet under 37 CFR 1.76:

☒ Continuation ☐ Divisional ☐ Continuation-in-part (CIP)of prior application No: 09 / 680,408

Prior application information:

Examiner Alecia D. NelsonArt Unit: 2675

For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b,
is considered a part of the disclosure of the accompanying or divisional application and is hereby incorporated by reference. The incorporation can only
be relied upon when a portion has been inadvertently omitted from the submitted application parts.

19. CORRESPONDENCE ADDRESS

☒ Customer Number

023342

OR ☐ Correspondence address belowName Goran P. Stojkovich, Esq.
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Name (Print/Type)

Goran P. Stojkovich

Registration No. (Attorney/Agent)

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Signature

Goran P. Stojkovich

Date

November 13, 2003

This collection of information is required by 37 CFR 1.53(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop Patent Application, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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17510 U.S. PTO
10/7/12/199

11/13/03

The PTO did not receive the following
listed item(s) 9 pages of
specification

FEE TRANSMITTAL for FY 2004		Complete if Known	
		Application Number	To be Assigned
Effective 10/01/2003. Patent fees are subject to annual revision.		Filing Date	November 13, 2003
<input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27		First Named Inventor	Michael D. LEVIN
TOTAL AMOUNT OF PAYMENT (\$)		Examiner Name	To be Assigned
		Art Unit	To be Assigned
		Attorney Docket No.	IMM054B

METHOD OF PAYMENT (check all that apply)		FEE CALCULATION (continued)																																																																																																																													
<input checked="" type="checkbox"/> Check <input type="checkbox"/> Credit card <input type="checkbox"/> Money Order <input type="checkbox"/> Other <input type="checkbox"/> None		3. ADDITIONAL FEES																																																																																																																													
<input type="checkbox"/> Deposit Account: Deposit Account Number: 16-1435 Deposit Account Name: Kilpatrick Stockton LLP		<table border="1"><thead><tr><th>Large Entity</th><th>Small Entity</th></tr></thead><tbody><tr><td>Fee Code</td><td>Fee Code</td></tr><tr><td>Fee (\$)</td><td>Fee (\$)</td></tr><tr><td>Fee Description</td><td>Fee Paid</td></tr><tr><td>1051 130</td><td>2051 65</td><td>Surcharge - late filing fee or oath</td><td></td></tr><tr><td>1052 50</td><td>2052 25</td><td>Surcharge - late provisional filing fee or cover sheet.</td><td></td></tr><tr><td>1053 130</td><td>1053 130</td><td>Non-English specification</td><td></td></tr><tr><td>1812 2,520</td><td>1812 2,520</td><td>For filing a request for reexamination</td><td></td></tr><tr><td>1804 920*</td><td>1804 920*</td><td>Requesting publication of SIR prior to Examiner action</td><td></td></tr><tr><td>1805 1,840*</td><td>1805 1,840*</td><td>Requesting publication of SIR after Examiner action</td><td></td></tr><tr><td>1251 110</td><td>2251 55</td><td>Extension for reply within first month</td><td></td></tr><tr><td>1252 420</td><td>2252 210</td><td>Extension for reply within second month</td><td></td></tr><tr><td>1253 950</td><td>2253 475</td><td>Extension for reply within third month</td><td></td></tr><tr><td>1254 1,480</td><td>2254 740</td><td>Extension for reply within fourth month</td><td></td></tr><tr><td>1255 2,010</td><td>2255 1,005</td><td>Extension for reply within fifth month</td><td></td></tr><tr><td>1401 330</td><td>2401 165</td><td>Notice of Appeal</td><td></td></tr><tr><td>1402 330</td><td>2402 165</td><td>Filing a brief in support of an appeal</td><td></td></tr><tr><td>1403 290</td><td>2403 145</td><td>Request for oral hearing</td><td></td></tr><tr><td>1451 1,510</td><td>1451 1,510</td><td>Petition to institute a public use proceeding</td><td></td></tr><tr><td>1452 110</td><td>2452 55</td><td>Petition to revive - unavoidable</td><td></td></tr><tr><td>1453 1,330</td><td>2453 665</td><td>Petition to revive - unintentional</td><td></td></tr><tr><td>1501 1,330</td><td>2501 665</td><td>Utility issue fee (or reissue)</td><td></td></tr><tr><td>1502 480</td><td>2502 240</td><td>Design issue fee</td><td></td></tr><tr><td>1503 640</td><td>2503 320</td><td>Plant issue fee</td><td></td></tr><tr><td>1460 130</td><td>1460 130</td><td>Petitions to the Commissioner</td><td></td></tr><tr><td>1807 50</td><td>1807 50</td><td>Processing fee under 37 CFR 1.17 (q)</td><td></td></tr><tr><td>1806 180</td><td>1806 180</td><td>Submission of Information Disclosure Stmt</td><td></td></tr><tr><td>8021 40</td><td>8021 40</td><td>Recording each patent assignment per property (times number of properties)</td><td></td></tr><tr><td>1809 770</td><td>2809 385</td><td>Filing a submission after final rejection (37 CFR § 1.129(a))</td><td></td></tr><tr><td>1810 770</td><td>2810 385</td><td>For each additional invention to be examined (37 CFR § 1.129(b))</td><td></td></tr><tr><td>1801 770</td><td>2801 385</td><td>Request for Continued Examination (RCE)</td><td></td></tr><tr><td>1802 900</td><td>1802 900</td><td>Request for expedited examination of a design application</td><td></td></tr><tr><td colspan="2">Other fee (specify) _____</td><td colspan="2">SUBTOTAL (3) (\$ 0)</td></tr></tbody></table>		Large Entity	Small Entity	Fee Code	Fee Code	Fee (\$)	Fee (\$)	Fee Description	Fee Paid	1051 130	2051 65	Surcharge - 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**or number previously paid, if greater; For Reissues, see above

SUBMITTED BY		Complete (if applicable)	
Name (Print/Type)	Goran P. Stojkovich	Registration No. (Attorney/Agent)	45,841
Signature	<i>Goran P. Stojkovich</i>	Telephone	336-607-7300
		Date	November 13, 2003

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EXPRESS MAIL CERTIFICATE

"Express Mail" mailing label number: EV 315 184 635 US

Date of Deposit: November 13, 2003

Type of Document(s): Fee Transmittal for FY 2004(duplicate);
Utility Patent Application Transmittal;
Specification: 49 Pages;
Drawings: 11 Sheets;
Declaration: Copy from Prior Application;
Preliminary Amendment;
Check in the amount of \$750.00; and
Return Postcard

Serial No.: To be Assigned

Date Filed: November 13, 2003

I hereby certify that the documents identified above are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and are addressed to the Mail Stop Patent Application, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.



Robin Leigh

EXPRESS MAIL NO:

2V 315 184 635 US

And we hereby appoint James R. Riegel (Reg. No. 36,651) and the law firm of Hickman Stephens & Coleman, including Paul L. Hickman (Reg. No. 28,516); L. Keith Stephens (Reg. No. 32,632); Brian R. Coleman (Reg. No. 39,145); Dawn L. Palmer (Reg. N. 41,238); Jerry Wei (Reg. No. 43,247); Ian L. Cartier (Reg. No. 38,406); and Robert D. Hayden (Reg. N. 42,645) as our principle attorneys to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith:

Send Correspondence To:

Paul L. Hickman
HICKMAN STEPHENS & COLEMAN, LLP
P.O. BOX 52037
Palo Alto, California 94303-0746

Direct Telephone Calls To:

James R. Riegel at telephone number (408) 467-1900

We hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

Typewritten Full Name of**Sole or First Inventor:**Michael D. Levin**Citizenship:**USA**Inventor's signature:**Michael Levin**Date of Signature:**January 6, 1999**Residence: (City)**Sunnyvale**(State/Country)**CA, USA**Post Office Address:**870 E. El Camino Real, #506, Sunnyvale, CA 94087**Typewritten Full Name of****Second Inventor:**Kenneth M. Martin**Citizenship:**Canada**Inventor's signature:**Ken Martin**Date of Signature:**01/07/99**Residence: (City)**Palo Alto**(State/Country)**CA, USA**Post Office Address:**404 Ventura Avenue, Palo Alto, CA 94306**Typewritten Full Name of****Third Inventor:**Bruce M. Schena**Citizenship:**USA**Inventor's signature:**Bruce M. Schena**Date of Signature:**1/13/99**Residence: (City)**Menlo Park**(State/Country)**CA, USA**Post Office Address:**414 Pope Street, Menlo Park, CA 94025**Typewritten Full Name of****Fourth Inventor:**Adam C. Braun**Citizenship:**USA**Inventor's signature:**Adam C. Braun**Date of Signature:**1/6/99**Residence: (City)**Sunnyvale**(State/Country)**CA, USA**Post Office Address:**1316 Oxbow Ct., Sunnyvale, CA 94087

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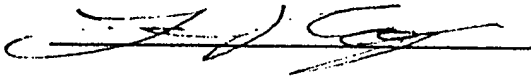
EV 315 184 635 US

Typewritten Full Name of

Fifth Inventor: Louis B. Rosenberg

Citizenship: USA

Inventor's signature:



Date of Signature: 1/11/99

Residence: (City) San Jose

(State/Country) CA, USA

Post Office Address: 5002 Felter Rd, San Jose, CA 95132